



## SBI Global Factors Ltd.

SBIGFL/CS/2023-24/ 22

April 27, 2023

The Company Secretary  
Wholesale Debt Market – Listing Department  
National Stock Exchange of India Ltd;  
Exchange Plaza, C-1, Block G,  
Bnadra Kurla Complex,  
Bandra (E), Mumbai 400 051.

**Sub: Compliance under Reg. 61(4) read with Reg. 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This is with reference to Reg. 61(4) read with Reg. 40(10) of the SEBI (LODR) Regulations 2015; we are enclosing herewith a certificate for the year ended 31<sup>st</sup> March, 2023 issued by Rajkumar R. Tiwari, Practising Company Secretary.

For your information and record.

Yours sincerely,

For SBI Global Factors Limited,

  
Assistant Manager – Company Secretary





# Rajkumar R. Tiwari

FCS., LL.M., MBA(Fin)., Mcom., MA(Eco)., M. Phil.,  
PGDICM & IA., DIPL., DTL., DFM.

Practising Company Secretary

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Mumbai Central, Mumbai 400 008. Tel. : 022-23011981 / 022-66351981(M) 9820353375  
E-mail:raj.nj4227@gmail.com / raj\_nj13@hotmail.com

Date: **27 APR 2023**

**CERTIFICATE UNDER REGULATION 61(4), 40(9) AND 40(11) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023.**

I have examined all the documents and requests received for transfer, transmission, transposition, sub-division, consolidation, renewal and endorsement of calls/allotment of monies in respect of the debt securities issued by **SBI GLOBAL FACTORS LIMITED** ("Company") having **CIN: U65929MH2001PLC131203** and maintained by Datamatics Business Solutions Limited, having their office at Plot No. B-5, Part B Cross Lane, MIDC Andheri (East), Mumbai – 400093, the Registrar and Transfer Agents ("RTA") during the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of compliance with the provisions of **Regulation 61(4), 40(9) and 40(11)** of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the listing agreement entered into by SBI Global Factors Limited with the National Stock Exchange of India Limited ("NSE").

Based on my verification and the information provided by the RTA and the Company, I hereby certify in respect of the Debentures issued in dematerialized form and listed by the Company as under:

- No physical debenture certificates were required to be delivered during the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023**.
- The Company has not issued any debenture certificate in physical form and hence there was no requirement of registering the requests for transfer, transmission, transposition, sub-division, consolidation, renewal and endorsement of calls/allotment of monies of securities held in physical form.

Place: Mumbai  
Date: 27<sup>th</sup> April, 2023

RAJKUMAR Digitally signed by  
RAJKUMAR  
RAKSHARA RAKSHARAM TIWARI  
M TIWARI Date: 2023.04.27  
15:30:52 +05'30'

**RAJKUMAR R. TIWARI**  
Practising Company Secretary  
C. P. No.: 2400 FCS: 4227  
P.R. No. 2041/2022  
UDIN: F004227E000208156